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Substitute for

PTO/SB/08a (05-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/783,717

Filing Date February 20, 2004

First Named Inventor Steve G. Baker

Art Unit 3731

Examiner Name

(use as many sheets as necessary)

Sheet 1 of 1 Attorney Docket Number 2234-3-3

			U.S. PATENT	OCUMENTS	
Examiner	Cito	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
Initials *	Cite No. ¹	Number - Kind Code ² (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS							
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	Where Relevant Passages or Relevant Figures Appear	Applicant of Cited Document	Publication Date MM-DD-YYYY	Country Code ³ - Number ⁴ - Kind Code ⁵ (<i>if known</i>)			
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Examiner Signature	alu	fais	Date Considered	3/12/07

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

	Complete if Known	(id
Application Number	10/783,717	120 A
Filing Date	February 20, 2004	1/3
First Named Inventor	Steve G. Baker	
Art Unit	3731	
Examiner Name	Natalie R. Pous	
Attorney Docket Number	2234-3-3	

U.S. PATENT DOCUMENTS						
Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
				Figures Appear		
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	US- 6,419,669 B1	07/16/02	Frazier, et al.			
	US- 7,083,630 B2	08/01/06	Devries et al.			
	US- 2005/0004575	01/06/05	Sgro et al.			
	US- 6,491,707 B2	12/10/02	Makower et al.			
	US- 2003/0216613	11/20/03	Suzuki et al.			
	US- 6,315,789	11/13/01	Cragg			
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	Cite No.	Cite No.* Number - Kind Code² (if known) US-5,626,614 A US- 6,419,669 B1 US- 7,083,630 B2 US- 2005/0004575 US- 6,491,707 B2 US- 2003/0216613 US- 6,315,789 US- 6,699,263 B2 US- US- US- US- US- US- US- US	Cite No.¹ Document Number Publication Date MM-DD-YYYY US-5,626,614 A 05/06/97 US-6,419,669 B1 07/16/02 US-7,083,630 B2 08/01/06 US-2005/0004575 01/06/05 US-6,491,707 B2 12/10/02 US-2003/0216613 11/20/03 US-6,315,789 11/13/01 US-6,699,263 B2 03/02/04 US-US-US-US-US-US-US-US-US-US-US-US-US-U	Document Number Publication Date Name of Patentee or Applicant of Cited Document		

		FOREIGN PA	ATENT DOCU	MENTS		
Examiner Initials* Cite No.1	0:1-	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
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	Substitute	for form 1449A	VPTO		Complete if Known			
		014 A TIC		01.001105	Application Number	10/783,717		
	INFORMATION DISCLOSURE				Filing Date	February 20, 2004		
	STATEMENT BY APPLICANT		First Named Inventor	Steve G. Baker				
					Group Art Unit	3731		
		(use as man	y sheets as	necessary)	Examiner Name	Natalie R. Pous		
$\overline{}$	Sheet	2	of	2	Attorney Docket Number	2234-3-3		

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Complete if Known	
Application Number	10/783,717	
Filing Date	February 20, 2004	
First Named Inventor	Steve G. Baker	
Art Unit	3731	
Examiner Name	Natalie R. Pous	
Attorney Docket Number	2234-3-3	

			U.S. PATENT		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan
Initials	Cite No. ¹	Number - Kind Code ² (if known)	MM-DD-YYYY	Onco boasment	Passages or Relevant Figures Appear
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Substitute for form 1449A/PTO				Complete if Known		
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INFORMATION DISCLOSURE				Filing Date	February 20, 2004	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Steve G. Baker	
				Group Art Unit	3731	
	(use as many shee	ets as	necessary)	Examiner Name	Natalie R. Pous	
Sheet	2	of	2	Attorney Docket Number	2234-3-3	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²				
NED		International Search Report for PCT/US05/34330 dated October 10, 2006					
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	7	Document Number	U.S. PATENT	DOCUMENTS Name of Patentee or A	Applicant of	
Sheet	1	of 1	Atto	mey Docket Number	2234	-3-3
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			Art	Unit	3731	
STA	STATEMENT BY APPLICANT		ANT Firs	First Named Inventor		e G. Baker
INFORMATION DISCLOSURE				g Date	Febr	uary 20, 2004
				lication Number	10/78	33,717

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Examiner Initials 7	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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Examiner	Cite	Foreign Patent Document	Out line time	Name of Patentee or	Pages, Columns, Lines,			
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	te Applicant of Cited Passages of Relevant	Passages or Relevant	7⁰		
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Examiner Signature Taras Cous	Date Considered	3/2/07	

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First Named Inventor Steve G. Baker

Art Unit 3731

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VZY		US-2003/0220657A1	11/27/2003	ADAMS.	
1		US- 2004/0044364A1	03/04/2004	DeVries et al.	
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<u>.</u>		Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines,	
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NEY		PCT/US00/17260/WO 00/78227	12/28/00	NDO Surgical, Inc.		
1		PCT/US01/29746/WO02/24080	03/28/02	Scimed Life Systems, Inc.		,
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			01.001105	Application Number	10/783,717		
			CLOSURE	Filing Date	February 20, 2004		
STATEMENT BY APPLICANT				First Named Inventor	Steve G. Baker		
				Group Art Unit	3731		
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Sheet	3	of	3	Attorney Docket Number	2234-3-3		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
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MER		Myths of the esophagus; Lucius D. Hill; The Journal of Thoracic and Cardiovascular Surgery; vol. 98, No. 1; July 1989; pp 1-10	
	_	The Gastroesophageal Flap Valve; L.D. Hill et al., J Clin Gastroenterol 1999:28(3):194-197; Lippincot williams & Wilkins, Inc., Philadelphia	
		The gastroesophageal flap valve: in vitro and in vivo observations; Lucius D. Hill et al., Gastrointestinal Endoscopy; Vol. 44, No. 5, 1996; pp 541-547	
V		Reappraisal of the flap valve mechanism in the gastroesophageal junction: A study of a new valvuloplasty procedure in cadavers; KjellB.A. Thor et al.; Acta Chir Scand 153:25-28, 1987	
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U.S. PATENT DOCUMENTS							
Examiner	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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		US- 2004/0153102 A1	08/05/04	Therin et al.				
1/		US- 2004/0153103 A1	08/05/04	Schwartz et al.				
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